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Owner: Kelly Thomas: Chief Compliance & Ethics Officer
Area: Corp CCE - Corporate Compliance & Ethics
References:

Response to Government Investigations and/or Subpoenas

PURPOSE

This policy establishes a mechanism for the orderly response to government investigations to enable American Health Companies, Inc. d/b/a American Health Partners ("AHP") to protect its interests as well as appropriately cooperate with investigations.

POLICY

AHP and its subsidiaries will cooperate with any appropriately authorized government investigation or audit; however, AHP will assert all protections afforded it by law in any such investigation or audit.

PROCEDURE

1. If a government investigator arrives immediately notify your supervisor or the most senior staff member available.
2. The supervisor or senior staff member should immediately ask for identification and then contact the AHP General Counsel and Chief Compliance and Ethics Officer.
3. The supervisor or senior staff member should ask the investigator if there is a subpoena or warrant to be served, and if so, request a copy.
4. No search, employee interviews, document review, etc. should be allowed without the consent of the General Counsel and/or Chief Compliance and Ethics Officer.
5. Under no circumstances will an employee alter, remove, or destroy documents or records.
6. Under no circumstances will an employee obstruct or interfere with an investigation.
7. An inventory of all documents seized and or reviewed will be maintained by the legal department.

Attachments:

No Attachments

Approval Signatures

Approver	Date
Ben Sparks: AHPLC [LC]	04/2019
Kelly Thomas: Chief Compliance Officer [LC]	04/2019
Kelly Thomas: Chief Compliance Officer [LC]	04/2019
Laura Carrico: Director of Compliance	04/2019